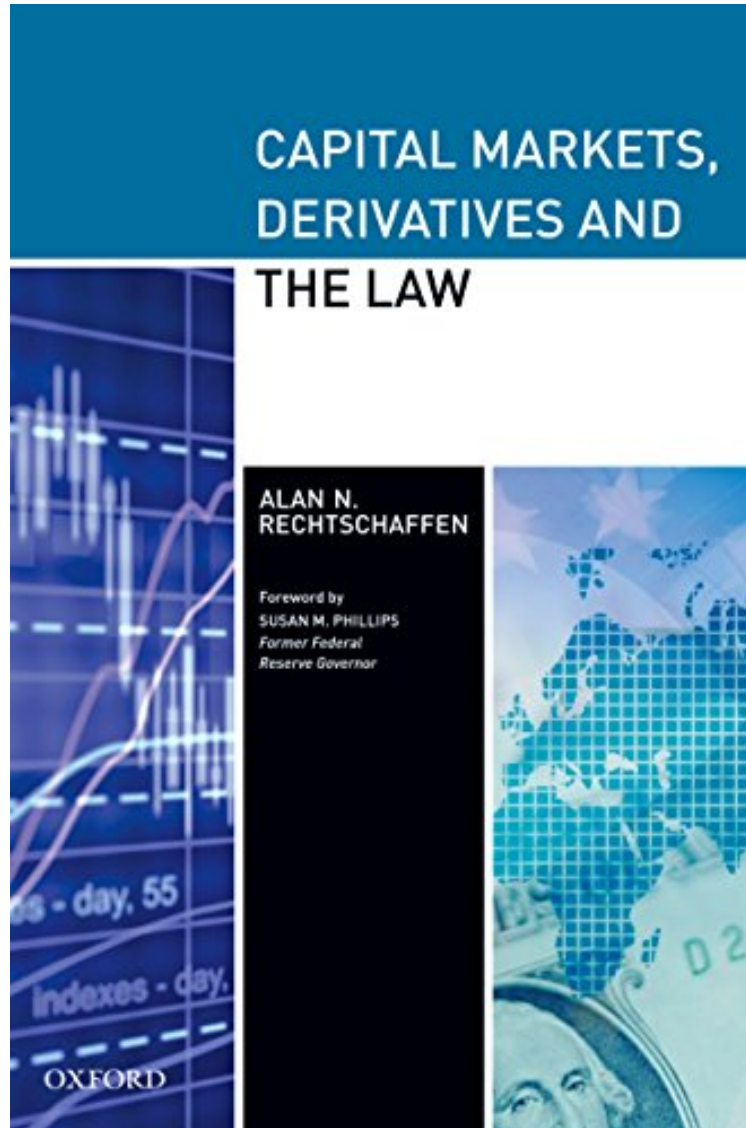


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Capital Markets, Derivatives and the Law

Alan Rechtschaffen

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1 of 1 people found the following review helpful. Look for the new editionBy Lawrence BaxterThis book was, briefly, a very useful introduction to an enormously complex subject. I particularly like the way the author explains the field of derivatives within the context of the broader financial system. But it is badly out of date, having been published before the passage of the Dodd-Frank Act, which of course has profoundly reshaped the derivatives markets. I understand that a new edition is in the works and I eagerly look forward to it.In the mean time, the published have no business still peddling this edition, particularly at the ridiculous price they are charging. In fact I don't understand the pricing

strategy at all. No rational buyer would purchase a personal copy at this price (I bought a used copy), so their only market will be libraries that have no choice. But for the book's datedness and the pricing strategy, I would have been tempted to give the book 4 or 5 stars. 0 of 0 people found the following review helpful. Great book! By MBHI have heard this author speak on numerous occasions; he has a gift for presenting complicated material in a clear, concise and entertaining fashion. This book demonstrates his ability to transmit information extends to the printed page as well. A fundamental text for anyone trying to make sense of the often confusing instruments at play in today's financial markets. 1 of 1 people found the following review helpful. Complex Made Simple By Ozzie Malone In Capital Market, Derivatives, and the Law, Professor Alan Rechtschaffen takes a complicated but important topic and makes it understandable to the average reader. Our financial regulators -- and everyone else -- need to have it on their bookshelves.

President Obama recently called for a new financial regulation system in the United States. In order to understand the intricacies of new regulation, individuals must have a strong foundation in how capital markets function as well as how financial instruments and derivatives work. Capital Markets, Derivatives, and the Law provides readers with the foundation necessary to make informed, well-reasoned decisions about capital market participation, derivative utilization, and adherence to existing and future regulations. This publication is an essential guide for attorneys and business professionals looking for an accessible resource to better understand the legal and business considerations of capital markets and derivatives transactions. This book offers expert insight into how derivatives work. The author also explores the structures of derivatives as well as how they are regulated and litigated. In the complex world of the current capital market upheaval, this book provides useful definitions, case law examples, and insight into structures, regulation, and litigation strategies.

"Capital Markets, Derivatives and the Law is a great introduction to the often obscure world of financial instruments. From debt to equity to derivatives, Alan Rechtschaffen provides an accessible explanation of how these instruments work in the real world. Students and practitioners who want to understand these instruments will all benefit from his accessible book." -Donald Marron Former Member of the President's Council of Economic Advisers Former Acting Director of the Congressional Budget Office "Alan Rechtschaffen's book, Capital Markets, Derivatives and the Law, is a fascinating analysis of modern capital markets, drawing on insights from law, economics, political science, history, and sociology. As an educator, attorney, policy adviser, and market participant, Rechtschaffen offers a unique perspective on important questions of public policy. Combining economic and legal scholarship while encouraging discussion of today's headlines, this book is of interest to academics, practitioners, bankers, regulators, and anyone else who wants to understand our complex, rapidly evolving capital markets." -Geoffrey P. Miller Stuyvesant P. Comfort Professor of Law Director, Center for the Study of Central Banks and Financial Institutions "One of the leading academics and practitioners in the evolving arena of derivative products presents a clear, understandable explanation of these financial instruments and their relevance and impact on capital markets and the global financial crisis. A must read for anyone trying to understand today's business headlines." -Kenneth Raisler Head of Commodities, Futures and Derivatives Group, Sullivan Cromwell Former General Counsel, CFTC Former Member, Working Group of The Group of Thirty Derivatives Project "Alan Rechtschaffen has written an essential book for lawyers working on matters related to financial markets in the wake of the crisis. Capital Markets, Derivatives and the Law is a one-stop source of knowledge about the financial instruments involved in the crisis and the case law to deal with them. It brings together law and financial markets in a way that adds enormous value in both directions. Lawyers and financial market participants will want to read this book." -Phillip Swagel Professor, McDonough School of Business, Georgetown University Former Assistant Secretary, U.S. Treasury About the Author Alan N. Rechtschaffen is a senior member of the Rechtschaffen Group at a top tier global financial institution. He has over 20 years experience participating in the capital markets and advising governments, corporations and individuals. He is an adjunct Professor of Law at New York University and Fordham University. He has held several public offices, served as a regulator, and counsels the highest-level government officials. Mr. Rechtschaffen leads central bankers and international regulators in policy discussions at the NYU Global Economic Policy Forum which he created and co-chairs. As an adjunct Professor at New York University School of Law, he teaches the course he created-Financial Instruments and the Capital Markets. At Fordham University School of Law, he created and taught courses on Capital Markets, Financial Instruments, Derivatives, Risk Management, and Corporate Governance.